COMP2111 Week 8/9
Term 1, 2024
Hoare Logic

### **Sir Tony Hoare**

- Pioneer in formal verification
- Invented: Quicksort,
- the null reference (called it his "billion dollar mistake")
- CSP (formal specification language), and
- Hoare Logic



## **Summary**

- ullet  $\mathcal{L}$ : A simple imperative programming language
- Hoare triples (SYNTAX)
- Hoare logic (PROOF)
- Semantics for Hoare logic



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## **Imperative Programming**

#### imperō

#### **Definition**

*Imperative programming* is where programs are described as a series of *statements* or commands to manipulate mutable *state* or cause externally observable *effects*.

States may take the form of a mapping from variable names to their values, or even a model of a CPU state with a memory model (for example, in an assembly language).

# $\mathcal{L}$ : A simple imperative programming language

Consider the vocabulary of basic arithmetic:

- Constant symbols: 0, 1, 2, ...
- Function symbols: +,\*,...
- Predicate symbols:  $<, \le, \ge, |, \dots|$



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# $\mathcal{L}$ : A simple imperative programming language

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- An (arithmetic) expression is a term over this vocabulary.
- A boolean expression is a predicate formula over this vocabulary.



The language  $\ensuremath{\mathcal{L}}$  is a simple imperative programming language made up of four statements:

**Assignment:** x := e

where x is a variable and e is an arithmetic expression.



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**Conditional:** if g then P else Q fi

where g is a boolean expression.



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**Sequencing:** P;Q

**Conditional:** if g then P else Q fi

where g is a boolean expression.

While: while g do P od



### Factorial in $\mathcal{L}$

```
i := 0;

m := 1;

while i < N do

i := i + 1;

m := m * i

od
```

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### **Hoare Logic**

We are going to define what's called a *Hoare Logic* for  $\mathcal{L}$  to allow us to prove properties of our program. We write a *Hoare triple* judgement as:

$$\{\varphi\} P \{\psi\}$$

Where  $\varphi$  and  $\psi$  are logical formulae about states, called *assertions*, and P is a program. This triple states that if the program P terminates and it successfully evaluates from a starting state satisfying the *precondition*  $\varphi$ , then the result state will satisfy the *postcondition*  $\psi$ .



## **Hoare triple: Examples**

$$\{(x = 0)\} x := 1 \{(x = 1)\}$$

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$$\{(x = 0)\} x := 1 \{(x = 1)\}$$
  
 $\{(x = 499)\} x := x + 1 \{(x = 500)\}$ 

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$$\{(x = 0)\} x := 1 \{(x = 1)\}$$
$$\{(x = 499)\} x := x + 1 \{(x = 500)\}$$
$$\{(x > 0)\} y := 0 - x \{(y < 0) \land (x \neq y)\}$$

## **Hoare triple: Factorial Examples**

```
\{N \ge 0\}

i := 0;

m := 1;

while i < N do

i := i + 1;

m := m * i

od

\{m = N!\}
```

## **Summary**

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### **Motivation**

### Question

We know what we want informally; how do we establish when a triple is valid?

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### **Motivation**

### Question

We know what we want informally; how do we establish when a triple is valid?

- Develop a semantics, OR
- Derive the triple in a syntactic manner (i.e. Hoare proof)

**Hoare logic** consists of one axiom and four inference rules for deriving Hoare triples.



## **Assignment**

$$\frac{}{\{\varphi[e/x]\}\,x:=e\,\{\varphi\}}\quad \text{(assign)}$$

#### Intuition:

If x has property  $\varphi$  after executing the assignment; then e must have property  $\varphi$  before executing the assignment



$$\{(y = 0)\} x := y \{(x = 0)\}$$

$$\{(y = 0)\} x := y \{(x = 0)\}$$
  
 $\{x := y \{(x = y)\}\}$ 

$$\{(y = 0)\} x := y \{(x = 0)\}$$
  
 $\{(y = y)\} x := y \{(x = y)\}$ 

$$\{(y = 0)\} x := y \{(x = 0)\}$$
  
 $\{(y = y)\} x := y \{(x = y)\}$   
 $\{x := 1 \{(x < 2)\}$ 

$$\{(y = 0)\} x := y \{(x = 0)\}$$
$$\{(y = y)\} x := y \{(x = y)\}$$
$$\{(1 < 2)\} x := 1 \{(x < 2)\}$$
$$\{(y = 3)\} x := y \{(x > 2)\}$$

$$\{(y = 0)\} x := y \{(x = 0)\}$$

$$\{(y = y)\} x := y \{(x = y)\}$$

$$\{(1 < 2)\} x := 1 \{(x < 2)\}$$

$$\{(y = 3)\} x := y \{(x > 2)\}$$
Problem!

### **Sequence**

$$\frac{\{\varphi\} P \{\psi\} \qquad \{\psi\} Q \{\rho\}}{\{\varphi\} P; Q \{\rho\}} \qquad (\text{seq})$$

#### Intuition:

If the postcondition of  ${\it P}$  matches the precondition of  ${\it Q}$  we can sequentially combine the two program fragments



## **Sequence: Example**



## **Sequence: Example**

$$\begin{cases} \{ \} x := 0 \{(x = 0)\} & \{(x = 0)\} y := 0 \{(x = y)\} \\ \{ \} x := 0; y := 0 \{(x = y)\} \end{cases}$$
 (seq)

## **Sequence: Example**

$$\frac{\{(0=0)\} x := 0 \{(x=0)\} \qquad \{(x=0)\} y := 0 \{(x=y)\}}{\{(0=0)\} x := 0; y := 0 \{(x=y)\}}$$
 (seq)

### **Conditional**

$$\frac{\{\varphi \land g\} P \{\psi\} \qquad \{\varphi \land \neg g\} Q \{\psi\}}{\{\varphi\} \text{ if } g \text{ then } P \text{ else } Q \text{ fi } \{\psi\}} \qquad \text{(if)}$$

#### Intuition:

- When a conditional is executed, either P or Q will be executed.
- ullet If  $\psi$  is a postcondition of the conditional, then it must be a postcondition of both branches
- ullet Likewise, if  $\varphi$  is a precondition of the conditional, then it must be a precondition of both branches
- Which branch gets executed depends on g, so we can assume g to be a precondition of P and  $\neg g$  to be a precondition of Q.



#### While

$$\frac{\left\{\varphi \wedge g\right\} P\left\{\varphi\right\}}{\left\{\varphi\right\} \text{ while } g \text{ do } P \text{ od } \left\{\varphi \wedge \neg g\right\}} \quad \text{ (loop)}$$

#### Intuition:

- $\varphi$  is a **loop invariant**. It must be both a pre- and postcondition of P, so that sequences of Ps can be run together.
- If the while loop terminates, g cannot hold.



### Consequence

There is one more rule, called the *rule of consequence*, that we need to insert ordinary logical reasoning into our Hoare logic proofs:

$$\frac{\varphi' \to \varphi \qquad \{\varphi\} \ P \{\psi\} \qquad \psi \to \psi'}{\{\varphi'\} \ P \{\psi'\}} \qquad \text{(cons)}$$

### Consequence

There is one more rule, called the *rule of consequence*, that we need to insert ordinary logical reasoning into our Hoare logic proofs:

$$\frac{\varphi' \to \varphi \qquad \{\varphi\} P \{\psi\} \qquad \psi \to \psi'}{\{\varphi'\} P \{\psi'\}} \qquad \text{(cons)}$$

#### Intuition:

- Adding assertions to the precondition makes it more likely the postcondition will be reached
- Removing assertions from the postcondition makes it more likely the postcondition will be reached
- If you can reach the postcondition initially, then you can reach it in the more likely scenario



## **Back to Assignment Example**

$$\{(y=3)\} x := y \{(x > 2)\}$$
 Problem!

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$$\{(y=3)\} x := y \{(x > 2)\}$$
 Problem!

$$\{(y > 2)\}x := y\{(x > 2)\}(assign)$$



## **Back to Assignment Example**

$$\{(y = 3)\} x := y \{(x > 2)\}$$
 Problem!

$$\{(y = 3)\}x := y\{(x > 2)\}\ (assign, cons)$$
  
 $\{(y > 2)\}x := y\{(x > 2)\}\ (assign)$ 



$$\{N \geq 0\}$$
 
$$\begin{aligned} & \{\varphi \wedge g\} \ P \ \{\psi\} \quad \{\varphi \wedge \neg g\} \ Q \ \{\psi\} \\ & \{\varphi\} \ \text{if } g \ \text{then } P \ \text{else } Q \ \text{fi} \ \{\psi\} \end{aligned} \end{aligned}$$
 
$$\begin{aligned} & i := 0; \\ & m := 1; \end{aligned}$$
 
$$\begin{aligned} & \{\varphi \mid x := e\} \} \ x := e \ \{\varphi\} \end{aligned}$$
 
$$\begin{aligned} & \{\varphi \land g\} \ P \ \{\varphi\} \\ & \{\varphi \mid x := e\} \} \ x := e \ \{\varphi\} \end{aligned}$$
 
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 od 
$$\{m = N!\}$$
 
$$\begin{aligned} & \{\varphi' \Rightarrow \varphi \quad \{\varphi\} \ P \ \{\psi'\} \quad \psi \Rightarrow \psi' \\ & \{\varphi'\} \ P \ \{\psi'\} \end{aligned}$$

$$\{N \ge 0\}$$

$$i := 0;$$

$$m := 1;$$

$$\{m = i! \land N \ge 0\}$$
while  $i \ne N$  do
$$i := i + 1;$$

$$m := m \times i$$
od 
$$\{m = i! \land N \ge 0 \land i = N\}$$

$$\{m = N!\}$$

$$\begin{split} & \{\varphi \wedge g\} \ P \ \{\psi\} \quad \{\varphi \wedge \neg g\} \ Q \ \{\psi\} \\ & \{\varphi\} \ \text{if $g$ then $P$ else $Q$ fi $\{\psi\}$} \\ & \overline{\{\varphi[x := e]\}} \ x := e \ \{\varphi\} \\ & \underline{\{\varphi \wedge g\}} \ P \ \{\varphi\} \\ & \overline{\{\varphi\}} \ \text{while $g$ do $P$ od $\{\varphi \wedge \neg g\}$} \\ & \underline{\{\varphi\}} \ P \ \{\alpha\} \quad \{\alpha\} \ Q \ \{\psi\} \\ & \underline{\{\varphi\}} \ P \ \{\psi\} \quad \psi \Rightarrow \psi' \\ & \underline{\{\varphi'\}} \ P \ \{\psi'\} \end{split}$$

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$$\{ N \geq 0 \}$$
 
$$i := 0;$$
 
$$m := 1;$$
 
$$\{ m = i! \land N \geq 0 \}$$
 while  $i \neq N$  do  $\{ m = i! \land N \geq 0 \land iN \}$  
$$i := i + 1;$$
 
$$\{ m = m \times i \}$$
 
$$\{ m = i! \land N \geq 0 \}$$
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$$\{ \varphi \land g \} \ P \ \{ \varphi \}$$
 while  $g \ do \ P \ od \ \{ \varphi \land \neg g \}$  
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$$\{ N \geq 0 \} \\ i := 0; \\ m := 1; \\ \{ m = i! \land N \geq 0 \} \\ \text{while } i \neq N \text{ do } \{ m = i! \land N \geq 0 \land iN \} \\ \{ m \times (i+1) = (i+1)! \land N \geq 0 \} \\ i := i+1; \\ \{ m \times i = i! \land N \geq 0 \} \\ m := m \times i \\ \{ m = i! \land N \geq 0 \} \\ \text{od } \{ m = i! \land N \geq 0 \land i = N \} \\ \{ m = N! \}$$

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Let's verify the Factorial program using our Hoare rules:

$$\{N \ge 0\}$$

$$i := 0;$$

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while  $i \ne N$  do  $\{m = i! \land N \ge 0 \land iN\}$ 

$$\{m \times (i + 1) = (i + 1)! \land N \ge 0\}$$

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$$\{\varphi \land g\} P \{\varphi\}$$
 while  $g$  do  $P$  od  $\{\varphi \land \neg g\}$  
$$\{\varphi\} P \{\alpha\} \quad \{\alpha\} Q \{\psi\} \}$$
 
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### **Practice Exercise**

```
m := 1;

n := 1;

i := 1;

while i < N do

t := m;

m := n;

n := m + t;

i := i + 1

od
```

### **Practice Exercise**

```
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i := 1;
while i < N do
t := m;
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i := i + 1
od
```

- What does this  $\mathcal{L}$  program P compute?
- What is a valid Hoare triple  $\{\varphi\}P\{\psi\}$  of this program?
- Prove using the inference rules and consequence axiom that this Hoare triple is valid.

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#### Recall

If R and S are binary relations, then the **relational composition** of R and S, R; S is the relation:

$$R; S := \{(a, c) : \exists b \text{ such that } (a, b) \in R \text{ and } (b, c) \in S\}$$

If  $R \subseteq A \times B$  is a relation, and  $X \subseteq A$ , then the **image of** X **under** R, R(X) is the subset of B defined as:

$$R(X) := \{b \in B : \exists a \ in X \ \text{such that} \ (a, b) \in R\}.$$



#### Informal semantics

Hoare logic gives a proof of  $\{\varphi\} P \{\psi\}$ , that is:  $\vdash \{\varphi\} P \{\psi\}$  (axiomatic semantics)

How do we determine when  $\{\varphi\} P \{\psi\}$  is **valid**, that is:

$$\models \{\varphi\} P \{\psi\}$$
?



#### Informal semantics

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How do we determine when  $\{\varphi\} P \{\psi\}$  is **valid**, that is:

$$\models \{\varphi\} P \{\psi\}$$
?

If  $\varphi$  holds in a state of some computational model then  $\psi$  holds in the state reached after a successful execution of P.



What is a program?

What is a program?

A function mapping system states to system states



What is a program?

A partial function mapping system states to system states



What is a program?

A relation between system states



What is a state of a computational model?

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Two approaches:

• Concrete: from a physical perspective

• Abstract: from a mathematical perspective

What is a state of a computational model?

- Concrete: from a physical perspective
  - States are memory configurations, register contents, etc.
  - Store of variables and the values associated with them
- Abstract: from a mathematical perspective



What is a state of a computational model?

- Concrete: from a physical perspective
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- Abstract: from a mathematical perspective
  - The pre-/postcondition predicates hold in a state
  - ⇒ States are logical interpretations (Model + Environment)



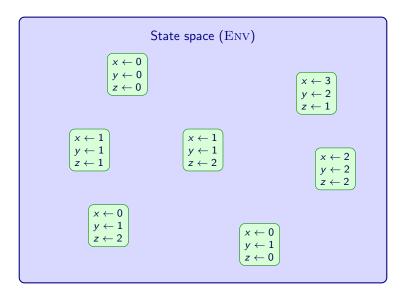
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  - $\Rightarrow$  States are **logical interpretations** (Model + Environment)
    - There is only one model of interest: standard interpretations of arithmetical symbols

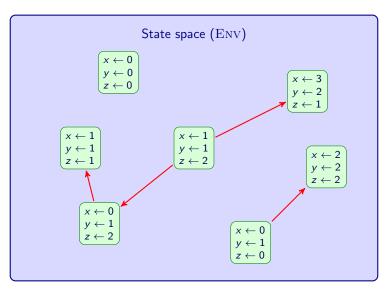
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  - The pre-/postcondition predicates hold in a state
  - $\Rightarrow$  States are **logical interpretations** (Model + Environment)
  - There is only one model of interest: standard interpretations of arithmetical symbols
  - ⇒ States are fully determined by **environments**
  - ⇒ States are functions that map variables to values

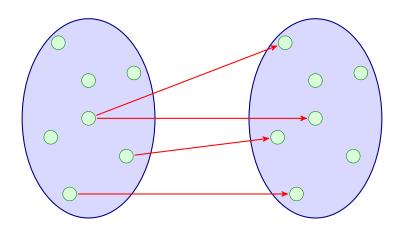




## Informal semantics: States and Programs



# **Informal semantics: States and Programs**



### Semantics for $\mathcal{L}$

An **environment** or **state** is a function from variables to numeric values. We denote by  $\mathrm{Env}$  the set of all environments.

#### NB

An environment,  $\eta$ , assigns a numeric value  $[\![e]\!]^\eta$  to all expressions e, and a boolean value  $[\![b]\!]^\eta$  to all boolean expressions b.

### Semantics for $\mathcal{L}$

An **environment** or **state** is a function from variables to numeric values. We denote by  $\mathrm{Env}$  the set of all environments.

#### NB

An environment,  $\eta$ , assigns a numeric value  $[\![e]\!]^{\eta}$  to all expressions e, and a boolean value  $[\![b]\!]^{\eta}$  to all boolean expressions b.

Given a program P of  $\mathcal{L}$ , we define  $[\![P]\!]$  to be a **binary relation** on  $E_{NV}$  in the following manner...

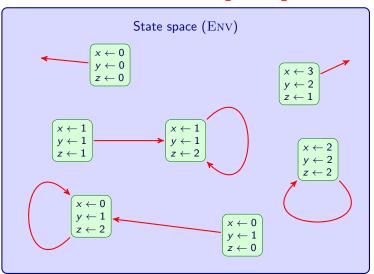


# **Assignment**

$$(\eta,\eta')\in \llbracket x:=e\rrbracket \quad \text{if, and only if} \quad \eta'=\eta[x\mapsto \llbracket e\rrbracket^\eta]$$



# Assignment: [z := 2]



# **Sequencing**

$$[\![P;Q]\!] = [\![P]\!]; [\![Q]\!]$$

where, on the RHS, ; is relational composition.



### Conditional, first attempt

$$\llbracket \text{if } b \text{ then } P \text{ else } Q \text{ fi} \rrbracket = \left\{ \begin{array}{l} \llbracket P \rrbracket \\ \llbracket Q \rrbracket \end{array} \right. \quad \text{if } \llbracket b \rrbracket^{\eta} = \text{true} \\ \text{otherwise.} \end{array}$$

## **Detour: Predicates as programs**

A boolean expression b defines a subset (or unary relation) of Env:

$$\langle b 
angle = \{ \eta \, : \, \llbracket b 
rbracket^{\eta} = { true} \}$$

This can be extended to a binary relation (i.e. a program):

$$\llbracket b \rrbracket = \{ (\eta, \eta) : \eta \in \langle b \rangle \}$$



## **Detour: Predicates as programs**

A boolean expression b defines a subset (or unary relation) of Env:

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This can be extended to a binary relation (i.e. a program):

$$\llbracket b \rrbracket = \{ (\eta, \eta) : \eta \in \langle b \rangle \}$$

Intuitively, b corresponds to the program

if b then skip else  $\perp$  fi



## Conditional, better attempt

$$\llbracket \text{if } b \text{ then } P \text{ else } Q \text{ fi} \rrbracket = \llbracket b; P \rrbracket \cup \llbracket \neg b; Q \rrbracket$$

while b do P od

- Do 0 or more executions of P while b holds
- Terminate when b does not hold



while b do P od

- Do 0 or more executions of (b; P)
- Terminate with an execution of  $\neg b$



while b do P od

- Do 0 or more executions of (b; P)
- Terminate with an execution of  $\neg b$

How to do "0 or more" executions of (b; P)?

#### Transitive closure

Given a binary relation  $R \subseteq E \times E$ , the *transitive closure of* R,  $R^*$  is defined to be the limit of the sequence

$$R^0 \cup R^1 \cup R^2 \cdots$$

where

- $R^0 = \Delta$ , the diagonal relation
- $R^{n+1} = R^n$ ; R

#### NB

- R\* is the smallest transitive relation which contains R
- Related to the Kleene star operation seen in languages:  $\Sigma^*$

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- Related to the Kleene star operation seen in languages:  $\Sigma^*$

Technically,  $R^*$  is the **least-fixed point** of  $f(X) = \Delta \cup X$ ; R



$$\llbracket \mathsf{while}\ b\ \mathsf{do}\ P\ \mathsf{od} \rrbracket = \llbracket b; P \rrbracket^*; \llbracket \neg b \rrbracket$$

- Do 0 or more executions of (b; P)
- Conclude with an execution of  $\neg b$

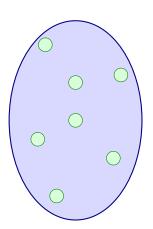


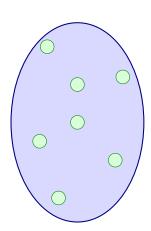
A Hoare triple is **valid**, written  $\models \{\varphi\} P \{\psi\}$  if

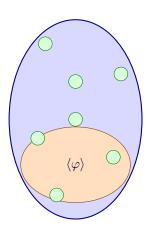
$$\llbracket P \rrbracket (\langle \varphi \rangle) \subseteq \langle \psi \rangle.$$

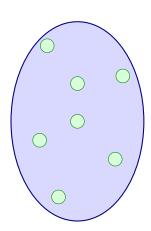
That is, the relational image under  $[\![P]\!]$  of the set of states where  $\varphi$  holds is contained in the set of states where  $\psi$  holds.

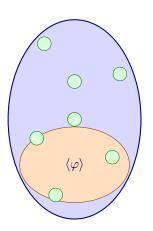


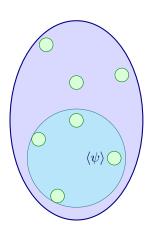


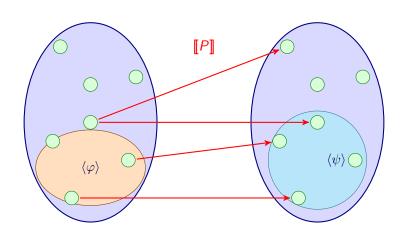




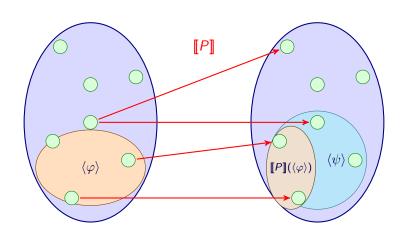














# **Soundness of Hoare Logic**

Hoare Logic is sound with respect to the semantics given. That is,

#### **Theorem**

$$\mathit{If} \vdash \{\varphi\} \, \mathit{P} \, \{\psi\} \, \mathit{then} \models \{\varphi\} \, \mathit{P} \, \{\psi\}$$



### **Summary**

- Set theory revisited
- Soundness of Hoare Logic
- Completeness of Hoare Logic

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#### Lemma

For any binary relations  $R, S \subseteq X \times Y$  and subsets  $A, B \subseteq X$ :

- **1** If  $A \subseteq B$  then  $R(A) \subseteq R(B)$

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Proof (a):

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#### Proof (a):

$$y \in R(A) \Leftrightarrow \exists x \in A \text{ such that } (x, y) \in R$$
  
 $\Rightarrow \exists x \in B \text{ such that } (x, y) \in R$   
 $\Leftrightarrow y \in R(B)$ 

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#### Lemma

For any binary relations  $R, S \subseteq X \times Y$  and subsets  $A, B \subseteq X$ :

- ① If  $A \subseteq B$  then  $R(A) \subseteq R(B)$
- (S(A)) = (S; R)(A)

#### Proof (b):

$$y \in R(A) \cup S(A) \Leftrightarrow y \in R(A) \text{ or } y \in S(A)$$
  
 $\Leftrightarrow \exists x \in A \text{ s.t. } (x,y) \in R \text{ or } \exists x \in A \text{ s.t. } (x,y) \in S$   
 $\Leftrightarrow \exists x \in A \text{ s.t. } (x,y) \in R \text{ or } (x,y) \in S$   
 $\Leftrightarrow \exists x \in A \text{ s.t. } (x,y) \in (R \cup S)$   
 $\Leftrightarrow y \in (R \cup S)(A)$ 

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For any binary relations  $R, S \subseteq X \times Y$  and subsets  $A, B \subseteq X$ :

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Proof (c):

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For any binary relations  $R, S \subseteq X \times Y$  and subsets  $A, B \subseteq X$ :

- ① If  $A \subseteq B$  then  $R(A) \subseteq R(B)$

### Proof (c):

$$z \in R(S(A)) \Leftrightarrow \exists y \in S(A) \text{ s.t. } (y,z) \in R$$
  
 $\Leftrightarrow \exists x \in A, y \in S(A) \text{ s.t. } (x,y) \in S \text{ and } (y,z) \in R$   
 $\Leftrightarrow \exists x \in A \text{ s.t. } (x,z) \in (S;R)$   
 $\Leftrightarrow z \in (S;R)(A)$ 

### **Corollary**

If  $R(A) \subseteq A$  then  $R^*(A) \subseteq A$ 

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Proof:

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If 
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 then  $R^*(A) \subseteq A$ 

Proof:

$$R(A) \subseteq A \Rightarrow R^{i+1}(A) = R^{i}(R(A)) \subseteq R^{i}(A)$$

$$\Rightarrow R^{i+1}(A) \subseteq R(A) \subseteq A$$
So  $R^{*}(A) = \left(\bigcup_{i=0}^{\infty} R^{i}\right)(A)$ 

$$= \bigcup_{i=0}^{\infty} R^{i}(A)$$

$$\subset A$$

### **Summary**

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Proof:

By induction on the structure of the proof.

$$\frac{\phantom{a}}{\{\varphi[e/x]\}\,x:=e\,\{\varphi\}}\quad \text{(ass)}$$

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Need to show  $\{\varphi[e/x]\}x:=e\{\varphi\}$  is always valid. That is,

$$\llbracket x := e \rrbracket (\langle \varphi[e/x] \rangle) \subseteq \langle \varphi \rangle.$$

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Observation:  $\llbracket \varphi[e/x] \rrbracket^{\eta} = \llbracket \varphi \rrbracket^{\eta'}$  where  $\eta' = \eta[x \mapsto \llbracket e \rrbracket^{\eta}]$ 

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Recall:  $(\eta, \eta'') \in \llbracket x := e \rrbracket$  if and only if  $\eta'' = \eta [x \mapsto \llbracket e \rrbracket^{\eta}]$ ,



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So  $\llbracket x := e \rrbracket (\eta) \in \langle \varphi \rangle$  for all  $\eta \in \langle \varphi [e/x] \rangle$ 



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$$\frac{\left\{\varphi\right\}P\left\{\psi\right\} \quad \left\{\psi\right\}Q\left\{\rho\right\}}{\left\{\varphi\right\}P;\,Q\left\{\rho\right\}} \quad \text{(seq)}$$

$$\frac{\left\{\varphi\right\} P\left\{\psi\right\} \quad \left\{\psi\right\} Q\left\{\rho\right\}}{\left\{\varphi\right\} P; \, Q\left\{\rho\right\}} \quad \text{(seq)}$$

Assume  $\{\varphi\} \mbox{\it P} \{\psi\}$  and  $\{\psi\} \mbox{\it Q} \{\rho\}$  are valid. Need to show that  $\{\varphi\} \mbox{\it P}; \mbox{\it Q} \{\rho\}$  is valid.

$$\frac{\left\{\varphi\right\} P\left\{\psi\right\} \quad \left\{\psi\right\} Q\left\{\rho\right\}}{\left\{\varphi\right\} P; \ Q\left\{\rho\right\}} \quad \text{ (seq)}$$

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$$\mathsf{Recall:} \ \llbracket P; \, Q \rrbracket = \llbracket P \rrbracket; \llbracket Q \rrbracket$$

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Recall: 
$$\llbracket P;Q \rrbracket = \llbracket P \rrbracket; \llbracket Q \rrbracket$$

So: 
$$[P; Q](\langle \varphi \rangle) = [Q]([P](\langle \varphi \rangle))$$
 (see Lemma 1(c))

$$\frac{\{\varphi\} P \{\psi\} \quad \{\psi\} Q \{\rho\}}{\{\varphi\} P; Q \{\rho\}} \quad \text{(seq)}$$

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By IH: 
$$\llbracket P \rrbracket (\langle \varphi \rangle) \subseteq \langle \psi \rangle$$
 and  $\llbracket Q \rrbracket (\langle \psi \rangle) \subseteq \langle \rho \rangle$ 



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$$[\![Q]\!]([\![P]\!](\langle \varphi \rangle)) \subseteq [\![Q]\!](\langle \psi \rangle) \subseteq \langle \rho \rangle$$
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#### Lemma

For  $R \subseteq \text{Env} \times \text{Env}$ , predicates  $\varphi$  and  $\psi$ , and  $X \subseteq \text{Env}$ :

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$$\eta' \in \llbracket \varphi \rrbracket(X) \quad \Leftrightarrow \quad \exists \eta \in X \text{ s.t. } (\eta, \eta') \in \llbracket \varphi \rrbracket$$
$$\Leftrightarrow \quad \exists \eta \in X \text{ s.t. } \eta = \eta' \text{ and } \eta \in \langle \varphi \rangle$$
$$\Leftrightarrow \quad \eta' \in X \cap \langle \varphi \rangle$$

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For  $R \subseteq \text{Env} \times \text{Env}$ , predicates  $\varphi$  and  $\psi$ , and  $X \subseteq \text{Env}$ :

### Proof (b):

$$\begin{split} \langle \varphi \wedge \psi \rangle &= \langle \varphi \rangle \cap \langle \psi \rangle = \llbracket \varphi \rrbracket (\langle \psi \rangle) \\ \text{So } R(\langle \varphi \wedge \psi \rangle) &= R(\llbracket \varphi \rrbracket (\langle \psi \rangle)) \\ &= (\llbracket \varphi \rrbracket; R)(\langle \psi \rangle) \quad \text{(see Lemma 1(b))} \end{split}$$

$$\frac{\{\varphi \land g\} P \{\psi\} \qquad \{\varphi \land \neg g\} Q \{\psi\}}{\{\varphi\} \text{ if } g \text{ then } P \text{ else } Q \text{ fi } \{\psi\}} \qquad \text{(if)}$$

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Recall: 
$$\llbracket \text{if } g \text{ then } P \text{ else } Q \text{ fi} \rrbracket = \llbracket g; P \rrbracket \cup \llbracket \neg g; Q \rrbracket$$



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[if g then P else Q fi] 
$$(\langle \varphi \rangle)$$



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$$\begin{split} & \text{ $\|$ if $g$ then $P$ else $Q$ fi} & \text{ $\|(\langle\varphi\rangle)$} \\ & = & \text{ $\|g$; $P$} & \text{ $\|(\langle\varphi\rangle)$} \cup & \text{ $\|\neg g$; $Q$} & \text{ $\|(\langle\varphi\rangle)$} & \text{ $($see Lemma 1(b))$} \end{split}$$

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```
\begin{split} & \text{ [[if $g$ then $P$ else $Q$ fi]]($\langle \varphi \rangle$)} \\ & = \text{ [[$g$; $P$]]($\langle \varphi \rangle$)} \cup \text{ [[$\neg g$; $Q$]]($\langle \varphi \rangle$)} & \text{ (see Lemma 1(b))} \\ & = \text{ [[$P$]]($\langle g \wedge \varphi \rangle$)} \cup \text{ [[$Q$]]($\langle \neg g \wedge \varphi \rangle$)} & \text{ (see Lemma 2(b))} \end{split}
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$$\begin{split} & \text{ [[if $g$ then $P$ else $Q$ fi]]($\langle \varphi \rangle$)} \\ &= \text{ [[$g$; $P$]]($\langle \varphi \rangle$)} \cup \text{ [[$\neg g$; $Q$]]($\langle \varphi \rangle$)} & \text{ (see Lemma 1(b))} \\ &= \text{ [[$P$]]($\langle g \wedge \varphi \rangle$)} \cup \text{ [[$Q$]]($\langle \neg g \wedge \varphi \rangle$)} & \text{ (see Lemma 2(b))} \\ &\subseteq \langle \psi \rangle & \text{ (by IH)} \end{split}$$

$$\frac{\left\{\varphi \wedge g\right\} P\left\{\varphi\right\}}{\left\{\varphi\right\} \text{ while } g \text{ do } P \text{ od } \left\{\varphi \wedge \neg g\right\}} \quad \text{ (loop)}$$

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$$[g; P](\langle \varphi \rangle) = [P](\langle g \wedge \varphi \rangle)$$
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$$\llbracket g; P \rrbracket^*; \llbracket \neg g \rrbracket (\langle \varphi \rangle) = \llbracket \neg g \rrbracket (\llbracket g; P \rrbracket^* (\langle \varphi \rangle))$$
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  $\qquad \llbracket g; P \rrbracket (\langle \varphi \rangle) = \llbracket P \rrbracket (\langle g \wedge \varphi \rangle) \qquad \text{(see Lemma 2(b))}$   $\qquad \subseteq \langle \varphi \rangle \qquad \qquad \text{(IH)}$  So  $\llbracket g; P \rrbracket^* (\langle \varphi \rangle) \subseteq \langle \varphi \rangle \qquad \qquad \text{(see Corollary)}$  So  $\llbracket g; P \rrbracket^*; \llbracket \neg g \rrbracket (\langle \varphi \rangle) = \llbracket \neg g \rrbracket (\llbracket g; P \rrbracket^* (\langle \varphi \rangle)) \qquad \text{(see Lemma 1(c))}$ 

 $\subseteq \llbracket \neg g \rrbracket (\langle \varphi \rangle)$ 

$$\frac{\{\varphi \land g\} P \{\varphi\}}{\{\varphi\} \text{ while } g \text{ do } P \text{ od } \{\varphi \land \neg g\}} \quad \text{(loop)}$$

Assume  $\{\varphi \land g\} P \{\varphi\}$  is valid. Need to show that  $\{\varphi\}$  while g do P od  $\{\varphi \land \neg g\}$  is valid.

Recall:  $\llbracket \text{while } g \text{ do } P \text{ od} \rrbracket = \llbracket g; P \rrbracket^*; \llbracket \neg g \rrbracket$ 

$$[g; P](\langle \varphi \rangle) = [P](\langle g \wedge \varphi \rangle)$$
 (see Lemma 2(b))  
$$\subseteq \langle \varphi \rangle$$
 (IH)

$$\subseteq \langle \varphi \rangle \tag{see Corollary}$$
So  $\llbracket g; P \rrbracket^* (\langle \varphi \rangle) \subseteq \langle \varphi \rangle$ 

$$\mathsf{So} \ \llbracket g; P \rrbracket^*; \llbracket \neg g \rrbracket (\langle \varphi \rangle) \ = \llbracket \neg g \rrbracket \big( \llbracket g; P \rrbracket^* (\langle \varphi \rangle) \big) \quad \mathsf{(see \ Lemma \ 1(c))}$$

$$\subseteq \llbracket \neg g \rrbracket (\langle \varphi \rangle)$$
 (see Lemma 1(a))

$$\frac{\varphi' \to \varphi \qquad \{\varphi\} P \{\psi\} \qquad \psi \to \psi'}{\{\varphi'\} P \{\psi'\}} \qquad \text{(cons)}$$

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Assume  $\{\varphi\} P \{\psi\}$  is valid and  $\varphi' \to \varphi$  and  $\psi \to \psi'$ . Need to show that  $\{\varphi'\} P \{\psi'\}$  is valid.

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Observe: If  $\varphi' \to \varphi$  then  $\langle \varphi' \rangle \subseteq \langle \varphi \rangle$ 



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$$\llbracket P \rrbracket (\langle \varphi' \rangle) \subseteq \llbracket P \rrbracket (\langle \varphi \rangle) \text{ (see Lemma 1(a))}$$



$$\frac{\varphi' \to \varphi \qquad \{\varphi\} P \{\psi\} \qquad \psi \to \psi'}{\{\varphi'\} P \{\psi'\}} \qquad \text{(cons)}$$

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Observe: If  $\varphi' \to \varphi$  then  $\langle \varphi' \rangle \subseteq \langle \varphi \rangle$ 

$$\llbracket P \rrbracket (\langle \varphi' \rangle) \subseteq \llbracket P \rrbracket (\langle \varphi \rangle) \text{ (see Lemma 1(a))}$$

$$\subseteq \langle \psi \rangle \text{ (IH)}$$

$$\subseteq \langle \psi' \rangle$$

## **Soundness of Hoare Logic**

#### **Theorem**

$$\mathit{If} \vdash \{\varphi\} \, \mathit{P} \, \{\psi\} \, \mathit{then} \models \{\varphi\} \, \mathit{P} \, \{\psi\}$$

## Summary

- Set theory revisited
- Soundness of Hoare Logic
- Completeness of Hoare Logic



### Theorem (Gödel's Incompleteness Theorem)

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- ⇒ There are true statements that do not have a proof.
- ⇒ Because of (cons) there are valid triples that result from valid, but unprovable, consequences.
- ⇒ Hoare Logic is not complete.



# Relative completeness of Hoare Logic

#### Theorem (Relative completeness of Hoare Logic)

With an oracle that decides the validity of predicates,

$$\textit{if} \ \models \left\{\varphi\right\} P \left\{\psi\right\} \ \textit{then} \ \vdash \left\{\varphi\right\} P \left\{\psi\right\}.$$



#### Need to know for this course

- Write programs in  $\mathcal{L}$ .
- Give proofs using the Hoare logic rules (full and outline)
- Definition of [√]
- Definition of composition and transitive closure

